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By: Kimberly Melvin

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.

10/625,809

Confirmation No.:

7768

Applicant Filed TC/A.U. Cafferata, Robert July 23, 2003

:

3739

Examiner Docket No.

Unassigned PA1309 CIP

Customer No.

28390

Title

Method and System for Treating Vulnerable Plaque

INFORMATION DISCLOSURE STATEMENT UNDER RULE 1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant(s) herein make available to the Patent and Trademark Office a copy of Form PTO-1449. This Information Disclosure Statement is being filed in accordance under 37 CFR 1.97(b)(3) Before the mailing date of a first Office Action on the merits. No fee is required. The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. 1.17, or credit any overpayment, to Deposit Account No. 01-2525.

The listed documents are brought to the Examiner's attention because they are known to the applicant and/or the applicant's attorney and may be considered by the Examiner to be material to his/her examination. This listing should not be construed as representation that a search has been made or that no better art exists. No inference should be made that the documents are in fact material merely because they are referenced herein. Moreover, no representation is made that any brief descriptions of the references herein necessarily describe the most material aspects of the references. Further, by this listing, the applicant is not making any admission regarding the relative dates of the invention and listed disclosures.

The Examiner is requested to consider carefully the complete text of these documents in connection with the examination of the above-identified application in accordance with 37 CFR 1.104(a). It is requested that the documents listed on the attached Form PTO-1449 be included in the "References Cited" portion of any patent issuing from this application (M.P.E.P. 1302.12), and that the Examiner initial and return a copy of the form to evidence consideration of the documents.

Dated: October 5, 2004

Respectfully submitted,

Michael J. Jaro

Registration No. 34,472 Attorney for Applicant

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	10/625,809				
Filing Date	July 23, 2003				
First Named Inventor	CAFFERATA, Robert				
Art Unit	3739				
Examiner Name	Unassigned				
Attorney Docket Number	PA1309 CIP				

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (# known)}	0/4000	5 1 "	· iguiss / ppsa.
	AA	^{US-} 4,857,045	8/1989	Rydell	
	AB	^{US-} 5,011,488	4/1991	Ginsburg	
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	AL	^{US-} 6,398,773	6/2002	Bagaoisan et al.	
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
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Signature		Considered		
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Amendment/R After F Affidav Extension of T Express Aban Information Di Certified Copy Document(s) Reply to Missi Incomplete Ap	tached epply inal rits/declaration(s) ime Request donment Request sclosure Statement of Priority		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on Corks	Address	Retu	Appea of App Appea (Appea Propri	
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Firm Name Med	dtronic Vascular, Inc.						
Signature Will 1—							
Printed name Mic	hael J. Jaro						
Date October 5, 2004 Reg. No. 34,47			34,472				
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Signature	Mi	$\overline{\sim}$	<i>,</i>	•	•		
Typed or printed name	Kimberly Melvin					Date	October 5, 2004

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